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| FORM PTO-1390 (Modified) (REV 11-2000) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER 214896US0PCT |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/926330 |
| INTERNATIONAL APPLICATION NO. PCT/FR00/00966 | INTERNATIONAL FILING DATE 14 April 2000 | PRIORITY DATE CLAIMED 14 April 1999 | |
| TITLE OF INVENTION METHOD FOR OBTAINING A. NIGER CULTURES AND THEIR USES FOR PRODUCING FERULIC ACID AND VANILLIC ACID | | | |
| APPLICANT(S) FOR DO/EO/US BONNIN Estelle et al. | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). | | | |
| Items 13 to 20 below concern document(s) or information included: | | | |
| <ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input type="checkbox"/> Certificate of Mailing by Express Mail 23. <input checked="" type="checkbox"/> Other items or information: | | | |
| Request for Consideration of Documents Cited in International Search Report/Notice of Priority PCT/IB/304/Drawings (3 Sheets)/PCT/IB/308 Amended Sheets (Pages 25, 26, and 27) | | | |

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|---|--|--|--|---|--|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492 (a)) 097/926330 | | INTERNATIONAL APPLICATION NO. PCT/FR00/00966 | | ATTORNEY'S DOCKET NUMBER 214896US0PCT | |
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24. The following fees are submitted:

| | | | | |
|--|--|--|----------------------------------|--|
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : | | | CALCULATIONS PTO USE ONLY | |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | | | \$1040.00 | |
| <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | | | \$890.00 | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | | | \$740.00 | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | | | \$710.00 | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | | | \$100.00 | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | \$890.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 | | | \$130.00 | |

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
|---|--------------|--------------|-----------|--|------------------------------|
| Total claims | 11 - 20 = | 0 | x \$18.00 | | \$0.00 |
| Independent claims | 1 - 3 = | 0 | x \$84.00 | | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$1,020.00 |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | \$0.00 |
| SUBTOTAL = | | | | | \$1,020.00 |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 + | | | | | \$0.00 |
| TOTAL NATIONAL FEE = | | | | | \$1,020.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/> | | | | | \$0.00 |
| TOTAL FEES ENCLOSED = | | | | | \$1,020.00 |
| | | | | | Amount to be refunded |
| | | | | | \$ |
| | | | | | charged |
| | | | | | \$ |

a. ☒ A check in the amount of **\$1,020.00** to cover the above fees is enclosed.


b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **15-0030**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



22850

Surinder Sachar
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Surinder Sachar

SIGNATURE _____

Norman F. Oblon

NAME _____

24,618

REGISTRATION NUMBER _____

Oct. 15 2001

DATE _____

214896US-0PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
ESTELLE BONNIN ET AL : ATTN: APPLICATION DIVISION
SERIAL NO: NEW U.S. PCT APPLN :
(Based on PCT/FR00/00966)
FILED: HEREWITH :
FOR: METHOD FOR OBTAINING A. :
NIGER CULTURES AND THEIR
USES FOR PRODUCING
FERULIC ACID AND
VANILLIC ACID

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows.

IN THE CLAIMS

Please amend the claims as shown on the marked-up copy following this amendment read as follows:

1. (Amended) A process for producing *Aspergillus niger* cultures with a broad spectrum of enzymatic activity comprising enzymes which degrade the parietal polysaccharides and ferulate esterases, said process comprising culturing at least one

Aspergillus niger strain in the presence of at least one inducing carbon-containing source chosen from the group consisting of:

- beetroot pulp or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis;
- a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving said bran or said mixture.

2. (Amended) The process as claimed in claim 1, wherein the inducing carbon-containing source is present in said culture medium at a concentration of between 1 and 50 g/L, and preferably between 2.5 and 30 g/L.

3. (Amended) The process as claimed in Claim 1, wherein the *Aspergillus niger* culture comprises at least the CNCM I-1472 strain.

4. (Amended) A process for producing an enzymatic preparation with a broad spectrum of activity comprising enzymes which degrade the parietal polysaccharides and ferulate esterases, said process comprising carrying out the process as claimed in Claim 1, and recovering the culture supernatant.

5. (Amended) An enzymatic preparation produced using the process as claimed in claim 4.

6. (Amended) A process for producing free ferulic acid from a feruloylated substrate, comprising bringing said substrate into contact with at least one *Aspergillus niger* culture produced from a process comprising culturing at least one *Aspergillus niger* strain in the presence of at least one inducing carbon-containing source chosen from the group consisting of:

- beetroot pulp or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis;

- a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving said bran or said mixture, or with at least one enzymatic preparation as claimed in claim 5, under conditions which allow the release of the ferulic acid by the enzymes present in said culture or said enzymatic preparation.

7. (Amended) The process as claimed in claim 6, wherein the feruloylated substrate is chosen from:

- beetroot pulp or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis;

- a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving said bran or said mixture.

8. (Amended) The process as claimed in Claim 6, wherein an amount of feruloylated substrate corresponding to 0.1 to 50 g of ferulic acid per liter of culture medium is added to the *Aspergillus niger* culture medium.

9. (Amended) The process as claimed in Claim 6, wherein the enzymatic preparation is mixed with an amount of feruloylated substrate corresponding to 0.1 to 40 g of ferulic acid per gram of total proteins of the enzymatic preparation.

10. (Amended) The process as claimed in Claim 6, wherein the *Aspergillus niger* culture or the enzymatic preparation is produced in the presence of an inducing carbon-containing source comprising beetroot pulp or at least a fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis, and wherein the feruloylated

substrate comprises at least one cereal bran or at least a fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving.

11. (Amended) The process as claimed in Claim 6, further comprising the bioconversion to vanillic acid, by said *Aspergillus niger* culture, of the ferulic acid released from the feruloylated substrate.

REMARKS

Claims 1-11 are active in the present application. Claims 1-11 have been amended to remove multiple dependencies and for clarity. No new matter is added. An action on the merits and allowance of claims is solicited.

Respectfully submitted,

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Marked-Up Copy

Serial No:

Amendment Filed on:

10-15-01

IN THE CLAIMS

--1. (Amended) A process for producing *Aspergillus niger* cultures with a broad spectrum of enzymatic activity comprising enzymes which degrade the parietal polysaccharides and ferulate esterases, [which process is characterized in that it comprises] said process comprising culturing at least one *Aspergillus niger* strain in the presence of at least one inducing carbon-containing source chosen from the group consisting of:

- beetroot pulp or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis;
- a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving said bran or said mixture.

2. (Amended) The process as claimed in claim 1, [characterized in that] wherein the inducing carbon-containing source is present in said culture medium at a concentration of between 1 and 50 g/L, and preferably between 2.5 and 30 g/L.

3. (Amended) The process as claimed in [either of claims 1 and 2, characterized in that] Claim 1, wherein the *Aspergillus niger* culture comprises at least the CNCM I-1472 strain.

4. (Amended) A process for producing an enzymatic preparation with a broad spectrum of activity comprising enzymes which degrade the parietal polysaccharides and

ferulate esterases, [characterized in that it comprises] said process comprising carrying out the process as claimed in [any one of claims 1 to 3] Claim 1, and recovering the culture supernatant.

5. (Amended) An enzymatic preparation[, characterized in that it can be] produced using the process as claimed in claim 4.

6. (Amended) A process for producing free ferulic acid from a feruloylated substrate, [which process is characterized in that it comprises] comprising bringing said substrate into contact with at least one *Aspergillus niger* culture produced [using the process as claimed in any one of claims 1 to 3] from a process comprising culturing at least one *Aspergillus niger* strain in the presence of at least one inducing carbon-containing source chosen from the group consisting of:

- beetroot pulp or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis;

- a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving said bran or said mixture, or with at least one enzymatic preparation as claimed in claim 5, under conditions which allow the release of the ferulic acid by the enzymes present in said culture or said enzymatic preparation.

7. (Amended) The process as claimed in claim 6, [characterized in that] wherein the feruloylated substrate is chosen from:

- beetroot pulp or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis;

- a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving said bran or said mixture.

8. (Amended) The process as claimed in [either of claims 6 and 7, characterized in that] Claim 6, wherein an amount of feruloylated substrate corresponding to 0.1 to 50 g of ferulic acid per liter of culture medium is added to the *Aspergillus niger* culture medium.

9. (Amended) The process as claimed in [either of claims 6 and 7, characterized in that] Claim 6, wherein the enzymatic preparation is mixed with an amount of feruloylated substrate corresponding to 0.1 to 40 g of ferulic acid per gram of total proteins of the enzymatic preparation.

10. (Amended) The process as claimed in [any one of claims 6 to 9, characterized in that] Claim 6, wherein the *Aspergillus niger* culture or the enzymatic preparation is produced in the presence of an inducing carbon-containing source comprising beetroot pulp or at least a fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis, and wherein [in that] the feruloylated substrate comprises at least one cereal bran or at least a fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving.

11. (Amended) The process as claimed in [any one of claims 6 to 8 or 10, characterized in that] Claim 6, further comprising [it also comprises] the bioconversion to vanillic acid, by said *Aspergillus niger* culture, of the ferulic acid released from the feruloylated substrate.--

09/926330

WO 00/61721

3/pst/s

PCT/FR00/00966

METHOD FOR OBTAINING A. NIGER CULTURES AND THEIR
USES FOR PRODUCING FERULIC ACID AND VANILLIC ACID

The present invention relates to the production of
5 ferulic acid and vanillic acid by bioconversion.

Vanillin, which is currently the flavoring most
commonly used in the agrofoods industries, can
advantageously be produced by bioconversion from
10 ferulic acid or from vanillic acid (which is, itself, a
product of bioconversion from ferulic acid), using
filamentous fungi.

Thus, European patent application 453 368 in the name
15 of the company PERNOD-RICARD describes the production
of natural vanillin by bioconversion from ferulic acid
or from vanillic acid in the presence of a filamentous
fungus of the Basidiomycete group, *Pycnoporus*
cinnabarinus. PCT application WO/96/08576 in the name
20 of INRA describes a two-step bioconversion process
which makes it possible to obtain a higher yield. In
the first step, ferulic acid is converted into vanillic
acid by a filamentous fungus (Ascomycete, Basidiomycete
or Actinomycete); the vanillic acid produced is then
25 converted into vanillin by a Basidiomycete.

Ferulic acid, which constitutes the starting product
for these bioconversion processes, is one of the major
phenol compounds of the plant cell wall. It has been
30 described in monocotyledons, in particular cereals
(wheat, maize, etc.), and in dicotyledons of the
Chenopodiaceae family (beetroot, spinach, etc.). It is
generally esterified to the polysaccharides of the
plant wall, via arabinose or galactose in beetroot
35 pectins, or arabinose in cereal arabinoxylans.

Ferulic acid is present in diverse agricultural
coproducts: for example, wheat bran (residues from

milling), maize bran (residues from semolina production) and beetroot pulps (residues from the sugar industry) contain from 0.6 to 4% of ferulic acid. These products constitute readily available and relatively inexpensive potential sources of ferulic acid.

Although it is theoretically conceivable, in the context of carrying out the bioconversion processes described in application EP 0 453 368 or PCT application WO/96/08576, to produce vanillic acid directly from ferulic acid esterified to the polysaccharides, the bioconversion rate under these conditions is negligible.

The ferulic acid must therefore be released beforehand with ferulate esterases. Depending on the nature of the polysaccharide carrying the ferulic acid, the ferulic acid-saccharide bond is different: ferulic acid forms an ester bond with the O-5 of arabinose in cereal arabinoxylans, whereas, in the case of beetroot pectins, the ferulic acid is carried either by the O-2 of arabinose or, to a lesser extent, by the O-6 of galactose. The release of ferulic acid will therefore involve different enzymes depending on the nature of the bond to be broken: the main ferulate esterases demonstrated in *A. niger* are given in table I below.

Table I

| Enzyme | Origin | Preferred substrate |
|--------|--------------------------|---|
| FAEI | <i>Aspergillus niger</i> | Arabinose feruloylated at O-2/galactose feruloylated at O-6 |
| FAEII | <i>Aspergillus niger</i> | arabinose feruloylated at O-5 |
| FAEIII | <i>Aspergillus niger</i> | arabinose feruloylated at O-5 |
| CinnAE | <i>Aspergillus niger</i> | arabinose feruloylated at O-2 |

In addition, the ferulate esterases do not act directly on the plant wall polysaccharides: the bonds which exist between the saccharides in the parietal polysaccharides must be broken beforehand in order for

it to be possible for the ferulic acid to be released by the ferulate esterases. Given the diversity of the parietal polysaccharides, the rupturing of these bonds requires a large number of different enzymatic activities, the main ones of which are: polygalacturonase, rhamnogalacturonase, arabinanase, galactanase, xylanase and glucanase. The hydrolysis products released by these enzymes are, in turn, degraded by osidases (arabinofuranosidase and galactosidase) and ferulic acid is released by ferulate esterases.

Enzymatic mixtures which make it possible to release the ferulic acid present in the parietal polysaccharides of beetroot pulps or of cereal brans are commercially available. However, these enzymatic mixtures do not have sufficient ferulate esterase activity and must be supplemented with ferulate esterases extracted from microbial culture media. In addition, once the ferulic acid has been released, the purification thereof involves many long and expensive steps of liquid/solid and liquid/liquid separation.

However, the inventors have now succeeded in inducing, in *Aspergillus niger*, the production of enzymes with a broad spectrum of activity, allowing not only efficient release of ferulic acid, but also the direct production of natural vanillic acid from agricultural coproducts.

A subject of the present invention is a process for producing *Aspergillus niger* cultures with a broad spectrum of enzymatic activity, characterized in that it comprises culturing at least one *Aspergillus niger* strain in the presence of at least one inducing carbon-containing source chosen from the group consisting of:

- beetroot pulp or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis;

- a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving
5 said bran or said mixture.

According to a preferred embodiment of the present invention, said inducing carbon-containing source is present in said culture medium at a concentration of
10 between 1 and 50 grams (dry weight), and preferably between 2.5 and 30 grams, per liter of culture medium.

According to another preferred embodiment of the present invention, the *Aspergillus niger* culture
15 comprises at least the CNCM I-1472 strain, deposited on August 31, 1994, with the CNCM (Collection Nationale de Cultures de Micro-organismes [National Collection of Microorganism Cultures], 26 rue du Docteur Roux, Paris).

20

A subject of the present invention is also:

- a process for producing an enzymatic preparation with a broad spectrum of activity, characterized in that it comprises culturing at least one *Aspergillus niger*
25 strain according to the process defined above, and recovering the culture supernatant;
- an enzymatic preparation which can be produced using said process.

30 The culture supernatant can be recovered by any means known per se, such as centrifugation or filtration, which make it possible to separate the *Aspergillus niger* cells from the culture medium. An enzymatic preparation in accordance with the invention may
35 consist of the supernatant itself, or of a concentrate of said supernatant, obtained for example by ultrafiltration or by lyophilization.

A subject of the present invention is also a process for producing free ferulic acid from a feruloylated substrate, which process is characterized in that it comprises bringing said substrate into contact with at least one *Aspergillus niger* culture produced beforehand according to the process in accordance with the invention, or with at least one enzymatic preparation in accordance with the invention, under conditions which allow the release of the ferulic acid by the enzymes present in said culture or said enzymatic preparation.

For the purposes of the present invention, the term "feruloylated substrate" is intended to mean any product containing or consisting of at least one feruloylated polysaccharide and/or at least one feruloylated oligosaccharide. It is in particular any substrate of plant origin comprising feruloylated parietal polysaccharides and/or feruloylated oligosaccharides.

When the plant substrate comprises mainly insoluble parietal polysaccharides, they may advantageously be made more accessible to enzymatic hydrolysis by subjecting them, beforehand, to chemical treatment, such as acid or alkaline hydrolysis, and/or to physical treatment, such as autoclaving or cooking-extrusion.

By way of plant substrates which are particularly advantageous for implementing the present invention, mention will be made in particular:

- of beetroot pulp or the soluble fractions thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis;
- a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or the soluble fractions thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving.

The feruloylated substrate may be brought into contact with at least one *Aspergillus niger* strain cultured beforehand in accordance with the invention, by adding to the *Aspergillus niger* culture medium an amount of
5 said feruloylated substrate corresponding, for example, to a supply of 0.1 to 50 g of ferulic acid per liter of culture medium. Preferably, the amount of feruloylated substrate added to the culture medium corresponds to a supply of 1 to 20 g, and advantageously to a supply of
10 5 to 15 g, of ferulic acid per liter of culture medium.

The feruloylated substrate may be brought into contact with at least one enzymatic preparation in accordance with the invention by mixing said enzymatic preparation
15 with said feruloylated substrate, for example in proportions corresponding to a supply, via said substrate, of 0.1 to 40 g of ferulic acid per gram of total proteins of the enzymatic preparation. Preferably, the proportions of the mixture correspond
20 to a supply of 0.2 to 10 g, and advantageously of 0.5 to 5 g, of ferulic acid per gram of total proteins of the enzymatic preparation.

The amount of feruloylated substrate added to the
25 culture medium or to the enzymatic preparation varies in particular depending on the nature of said substrate and of its initial content of esterified ferulic acid. This content can be easily determined using any method known, per se, to those skilled in the art, for example
30 using the method described by SAULNIER et al. [Carbohydrate Research, 272, 241-253, (1995)].

Said feruloylated substrate may be added just once, several times with successive additions, or
35 continuously.

Advantageously, in order to implement the process in accordance with the invention, use will be made of an *Aspergillus niger* culture or an enzymatic preparation

produced in the presence of an inducing carbon-containing source comprising beetroot pulp or at least a fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis, and a feruloylated substrate comprising at least one cereal bran, in particular a maize bran, or at least a fraction thereof rich in feruloylated oligosaccharides, produced by autoclaving.

- 10 The ferulic acid produced under these conditions may, if desired, be harvested from the culture medium. However, it is particularly advantageous to carry out the bioconversion of the ferulic acid to vanillic acid directly, with the same *Aspergillus niger* culture, the
15 cells of which have the intracellular enzymes required for this bioconversion.

The ferulic acid or the vanillic acid produced in accordance with the invention may be used per se, for example as antioxidants, or may be used as vanillin precursors in bioconversion processes such as those described in application EP 0 453 368 or PCT application WO/96/08576).

- 20
25 The present invention will be more clearly understood using the further description which follows and which refers to examples of implementation of the process in accordance with the invention.

- 30 It should be clearly understood, however, that these examples are given only by way of illustration of the subject of the invention, of which they in no way constitute a limitation.

35 **EXAMPLE 1: ENZYMATIC ACTIVITY OF A. NIGER I-1472 CULTURED ON BEETROOT PULP:**

A) Production of *Aspergillus niger* I-1472 cultures

The *Aspergillus niger* strain deposited on August 31, 1994, with the Collection Nationale de Cultures de

Micro-organisms [National Collection of Microorganism Cultures], under the number I-1472, was cultured in the presence of agricultural coproducts, or of fractions thereof, as inducing carbon-containing sources for
5 enzymes of interest.

The composition of the culture medium is as follows:

| | |
|---|------------|
| Inducing carbon-containing source | 15.00 g/l |
| Maltose | 2.50 g/l |
| Diammonium tartrate | 1.842 g/l |
| KH_2PO_4 | 0.20 g/l |
| $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$ | 0.0132 g/l |
| $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ | 0.50 g/l |
| Yeast extract | 0.50 g/l |
| Tween 80 | 0.50 g/l |

- 10 Beetroot pulp is used as the inducing carbon-containing source.

The composition of the beetroot pulp (dry weight) is as follows:

15

| | |
|--------------|----------|
| Rhamnose | 24 mg/g |
| Arabinose | 209 mg/g |
| Xylose | 17 mg/g |
| Galactose | 51 mg/g |
| Glucose | 211 mg/g |
| Uronic acids | 211 mg/g |
| Ferulic acid | 8 mg/g |
| Proteins | 113 mg/g |
| Ash | 36 mg/g |

A control culture is produced on maltose (20 g/L) as the only carbon source. The medium is sterilized by autoclaving for 20 minutes at 120°C. The cultures are
20 grown in 500 ml flasks containing 200 ml of medium. The inoculation is performed with conidiospores (2×10^5)

spores/ml). After inoculation, the cultures are incubated at 30°C and subjected to shaking at 120 rpm.

The production of enzymes during the culturing is monitored by assaying the enzymatic activities produced. In order to carry out this assay, an aliquot of the culture medium of approximately 10 ml is removed sterilely each day. This aliquot is then filtered over glass fibers and the enzymatic activities with respect to various substrates are assayed.

B) Enzymatic activity assay

1) Depolymerization enzymes

The enzymatic activities are measured on various pure polysaccharides: galacturonan, carboxymethylcellulose, xylan, type I galactan, arabinan, rhamnogalacturonan.

The reaction medium contains 0.9 ml of substrate solution at 1 g/l in 50 mmol/L acetate buffer, pH 4.5, and 0.1 ml of culture filtrate. The mixture is incubated for 10 minutes at 40°C. The reducing ends released are assayed in microplates with copper sulfate [NELSON, J. Biol. Chem. 153, 375-380 (1944); STURGEON, Methods in Plant Biochemistry, 2, 1-37 (1990)]. The constituent saccharide of the polysaccharide is used as a standard: galacturonic acid for the assays on galacturonan and rhamnogalacturonan, glucose for the assays of carboxymethylcellulose, xylose for the assays on xylan, galactose for the assays on galactan and arabinose for the assays on arabinan.

2) Osidase activities

The osidase activities are measured on *para*-nitrophenyl- β -D-galactopyranoside and *para*-nitrophenyl- α -L-arabinofuranoside (SIGMA).

The reaction medium contains 0.1 ml of substrate solution at 4 mmol/L in 50 mmol/L acetate buffer, pH 4.5, and 0.1 ml of culture supernatant filtrate. The

mixture is incubated for 20 minutes at 40°C. 0.6 ml of sodium carbonate are then added in order to inhibit the enzymatic activity and allow the colorimetric reaction to develop. The concentration of *para*-nitrophenol released is calculated from the optical density of the mixture read at $\lambda = 400$ nm.

3) Ferulate esterase activities

The ferulate esterase activities are measured on various feruloylated oligomers: 5-O-(transferuloyl)-L-Araf (determination of FA activity) and O- β -D-Xyl_n(1 \rightarrow 2)-[5-O-transferuloyl]- α -L-Araf] (determination of XFA activity) isolated from maize bran [SAULNIER et al., Carbohydrate Research, 272, 241-253, (1995)] and [2-O-(transferuloyl) α -L-Araf (determination of FA₂ activity) isolated from beetroot pulp [KROON et al., Carbohydrate Research, 300, 351-354, (1997)]. The assay is carried out at the optimum for the specific activities of the other enzymes.

The reaction medium contains 100 μ l of substrate solution at 70 nmol/L, 80 μ l of 0.1 mol/L MOPS (3-[N-morpholino]propanesulfonic acid) buffer, pH 6, and 20 μ l of culture filtrate. The mixture is incubated for 1 hour at 40°C. At times: 0, 15, 30, 45, 60 minutes, 10 μ l of reaction medium are removed and poured into MOPS buffer. The optical densities are read at 286 and 323 nm.

The reaction medium contains both esterified ferulic acid and ferulic acid released by the enzyme. Their respective amounts are calculated from the optical densities using the molar extinction coefficients determined beforehand at pH 6: $\epsilon_{286} = 14176$ L.mol⁻¹.cm⁻¹, $\epsilon_{323} = 10350$ L.mol⁻¹.cm⁻¹ for the free ferulic acid, and $\epsilon'_{286} = 12465$ L.mol⁻¹.cm⁻¹, $\epsilon'_{323} = 19345$ L.mol⁻¹.cm⁻¹ for the esterified ferulic acid.

All the enzymatic activities are expressed as nkat/ml, which corresponds to the amount of enzyme required to release one nmol of product per second and per ml, under the pH and temperature conditions defined above.

5

C) Results

The production of enzymes which degrade the parietal polysaccharides was monitored during the culturing of *A. niger* on beetroot pulp and during the control culturing on maltose. The enzymatic activities were measured as described above.

1) Depolymerization enzymes and osidases

The results obtained for the depolymerization enzymes and the osidases are illustrated by figure 1, which represents the hydrolytic activity for each substrate tested, as a function of the number of days of culturing.

Legend to figure 1:

Figure 1A: Induction on beetroot pulp;

Figure 1B: Induction on maltose;

● : Galactan;

■ : Arabinan;

▲ : Polygalacturonic acid;

○ : Carboxymethylcellulose;

◡ : Xylan;

▼ : Rhamnogalacturonan;

× : pNPGalactoside;

· : pNPARabinoside.

When maltose only is used as the carbon-containing source in the *A. niger* I-1472 cultures, very few enzymatic activities which are active on the parietal polysaccharides are released. On the other hand, the presence of beetroot pulp as the inducing carbon-containing source leads to much greater synthesis of enzymes which degrade parietal polysaccharides. A broad spectrum of enzymes is present in the culture

supernatant. In addition, the synthesis of these enzymes is rapid and it is observed that the enzymatic activities reach their maximum value from the 3rd day of culturing. For this reason, ferulate esterase
5 activities were sought in the culture supernatants at 3 days.

2) Ferulate esterases

The ferulate esterase activities in the culture
10 supernatants are given in the following table II:

Table II

| Inducing carbon-containing source | Activity (nkat/ml) | | |
|-----------------------------------|--------------------|-----|-----------------|
| | FA | FAX | FA ₂ |
| Maltose control | 0 | 0 | 0 |
| Beetroot pulp | 1.1 | 0.5 | 0 |

Low FA and FAX activity is therefore induced when
A. niger I-1472 is cultured on beetroot pulp.

15

EXAMPLE 2: ENZYMATIC ACTIVITIES OF A. niger I-1472 CULTURED ON BEETROOT PULP HYDROLYSATES

The beetroot pulp undergoes two successive hydrolyses
20 with trifluoroacetic acid in order to extract the feruloylated oligomers therefrom, according to the following protocol [RALET et al. Carbohydrate Research, 263, 227-241 (1994)]:

25 800 g of beetroot pulp are mixed, in a proportion of 20 g/L, with a 50 mmol/L solution of trifluoroacetic acid. The mixture is maintained at 100°C for 1 h 30. The soluble fraction is collected and precipitated by adding 4 volumes of ethanol. The ethanol-soluble
30 fraction is collected and constitutes hydrolysate 1.

The precipitate is treated with trifluoroacetic acid (150 mmol/L) for 6 hours at 100°C. The soluble fraction is collected and precipitated by adding 4 volumes of

ethanol. The ethanol-soluble fraction is collected and constitutes hydrolysate 2.

- The fractions termed: "hydrolysate 1" and:
5 "hydrolysate 2" are obtained with yields of 280 mg/g for hydrolysate 1 and of 76 mg/g for hydrolysate 2, and have the following compositions (dry weight):

| Hydrolysate: | 1 | 2 |
|--------------|----------|----------|
| Rhamnose | 6 mg/g | 54 mg/g |
| Arabinose | 535 mg/g | 129 mg/g |
| Xylose | 2 mg/g | 2 mg/g |
| Galactose | 16 mg/g | 187 mg/g |
| Glucose | 85 mg/g | 42 mg/g |
| Uronic acids | 17 mg/g | 188 mg/g |
| Ferulic acid | 10 mg/g | 12 mg/g |
| Proteins | 12 mg/g | 8 mg/g |
| Ash | 64 mg/g | 86 mg/g |

- Hydrolysate 1 or 2 is then used as the inducing carbon-
10 containing source in an *A. niger* I-1472 culture and the enzymes produced by the fungus are measured according to the protocols described in example 1.

1) Depolymerization enzymes and osidases

- 15 The results obtained for the depolymerization enzymes and the osidases are illustrated by figure 2, which represents the hydrolytic activity for each substrate tested, as a function of the number of days of culturing.

20

Legend to figure 2:

Figure 2A: Induction on hydrolysate 1;

Figure 2B: Induction on hydrolysate 2;

- 25 ● : Galactan;
■ : Arabinan;
▲ : Polygalacturonic acid;
○ : Carboxymethylcellulose;
◼ : Xylan;
▼ : Rhamnogalacturonan;

- × : pNPGalactoside;
· : pNPArabinoside.

When beetroot pulp hydrolysate 1 or 2 is used as the inducing carbon-containing source in the *A. niger* I-1472 cultures, the production of enzymes which degrade the parietal polysaccharides is lower than in the cultures on beetroot pulp. The hydrolysates therefore induce the synthesis of lower amounts of enzymes. In addition, it is observed that the enzymatic activities reach their maximum value after 4 days of culturing on hydrolysate 1 and after 3 days on hydrolysate 2.

2) Ferulate esterases

The ferulate esterase activities were measured in the supernatants from the 3rd day of culturing for hydrolysate 2, and from the 4th day of culturing for hydrolysate 1. The results are illustrated by table III below.

Table III

| Inducing carbon-containing source | Activity (nkat/ml) | | |
|-----------------------------------|--------------------|-----|-----------------|
| | FA | FAX | FA ₂ |
| Maltose control | 0 | 0 | 0 |
| Hydrolysate 1 | 0.6 | 0 | 0.2 |
| Hydrolysate 2 | 0 | 0 | 0 |

Low FA and FA₂ activities are induced when *A. niger* I-1472 is cultured on hydrolysate 1 from beetroot pulp, which is rich in ferulic acid esterified to arabinose.

EXAMPLE 3: ENZYMATIC ACTIVITIES PRODUCED BY *A. niger* I-1472 CULTURED ON MAIZE BRAN

A. niger I-1472 is cultured in the presence of maize bran as the inducing carbon-containing source, and the enzymes produced by the fungus are measured according to the protocols described in example 1.

The composition of the maize bran (dry weight) is as follows:

| | |
|--------------|----------|
| Rhamnose | 0 mg/g |
| Arabinose | 154 mg/g |
| Xylose | 276 mg/g |
| Galactose | 51 mg/g |
| Glucose | 248 mg/g |
| Uronic acids | 42 mg/g |
| Ferulic acid | 31 mg/g |
| Proteins | 50 mg/g |

5 1) Depolymerization enzymes and osidases

The results obtained for the depolymerization enzymes and the osidases are illustrated by figure 3, which represents the hydrolytic activity for each substrate tested, as a function of the number of days of
10 culturing.

Legend to figure 3:

- : Galactan;
- : Arabinan;
- 15 ▲ : Polygalacturonic acid;
- : Carboxymethylcellulose;
- ▲ : Xylan;
- ▼ : Rhamnogalacturonan;
- × : pNPGalactoside;
- 20 · : pNPARabinoside.

These results show that, in the case of the depolymerization enzymes and of the osidases, the enzymatic activities induced during culturing in the
25 presence of maize bran are lower than those induced in the presence of beetroot pulp.

2) Ferulate esterases

The ferulate esterase activities measured in the
30 supernatant after 3 days of culturing are, respectively, 4.6 nkat/ml for FA and 4.9 nkat/ml for

FAX. A clear induction of the FA and FAX activities by the maize bran is observed.

EXAMPLE 4: ENZYMATIC ACTIVITIES PRODUCED BY *A. niger* I-1472 CULTURED ON THE AUTOCLAVED MATERIAL DERIVED FROM MAIZE BRAN

The maize bran undergoes treatment by autoclaving in order to extract the feruloylated oligomers therefrom, according to the following protocol:

The bran is suspended in water (in a proportion of 100 g of bran per liter) and then autoclaved at 160°C for 60 minutes. The autoclaved material is centrifuged for 10 minutes at 20 000 rpm and then filtered over G3 sintered glass (pore size 15-40 µm; SCHOTT). The filtered supernatant is lyophilized. The final product, named hereinafter "autoclaved material from maize bran", is obtained with a yield of 600 mg/g and has the following composition (dry weight):

| | |
|--------------|----------|
| Rhamnose | 0 mg/g |
| Arabinose | 208 mg/g |
| Xylose | 386 mg/g |
| Galactose | 73 mg/g |
| Glucose | 39 mg/g |
| Uronic acids | 47 mg/g |
| Ferulic acid | 34 mg/g |
| Proteins | 8 mg/g |
| Ash | 8 mg/g |

The autoclaved material is then used as the inducing carbon-containing source for the *A. niger* I-1472 culture and the enzymes are measured in the culture supernatant according to the protocols described in example 1.

1) Depolymerization enzymes and osidases

The results obtained for the depolymerization enzymes and the osidases are illustrated by figure 4, which represents the hydrolytic activity for each substrate tested, as a function of the number of days of culturing.

Legend to figure 4:

- : Galactan;
- : Arabinan;
- ▲ : Polygalacturonic acid;
- : Carboxymethylcellulose;
- ◼ : Xylan;
- ▼ : Rhamnogalacturonan;
- × : pNPGalactoside;
- : pNPArabinoside.

It is noted, in particular, that the xylanase activity induced in the presence of maize bran hydrolysate is much higher than that induced when native maize bran is used as the inducing carbon-containing source.

2) Ferulate esterases

The ferulate esterase activities measured in the supernatant after 5 days of culturing are, respectively, 9.7 nkat/ml for FA and 10.6 nkat/ml for FAX; an induction which is even more significant than that observed in the case of the cultures produced in the presence of maize bran is therefore observed.

EXAMPLE 5: RELEASE OF FERULIC ACID BY THE *A. niger* I-1472 ENZYMES

The culture supernatant from *A. niger* I-1472 cultured in the presence of beetroot pulp as described in example 1 above, and concentrated 20 times by lyophilization, was used as the source of enzymes for releasing the ferulic acid present either in beetroot pulp or in the autoclaved material from maize bran.

This release was compared with the release of ferulic acid by commercially available enzymes: SP 584 (NOVO) in the case of the beetroot pulp and NOVOZYME 342 (NOVO) in the case of the autoclaved material from
5 maize bran.

Table IV below illustrates the comparison between the enzymatic activities present in the 4 enzyme
10 preparations used:

Table IV

| Substrate | Specific activity (nkat/mg) | | | |
|-----------------------|--|--|--------|-------------|
| | Supernatant from <i>A. niger</i> I-1472 on beetroot pulp | Supernatant from <i>A. niger</i> I-1472 on autoclaved material from maize bran | SP 584 | NOVOZYM 342 |
| Arabinan | 120.6 | 1.9 | 291.1 | 7.2 |
| Xylan | 125.5 | 93.9 | 62.2 | 104.3 |
| Galactan | 32.9 | 1.8 | 943.9 | 2.8 |
| Rhamnogalacturonan | 53.4 | 4.9 | 256.7 | Nd |
| CMC | 13.6 | 12.2 | 4.7 | 24.9 |
| Polygalacturonic acid | 86.0 | 1.5 | 2400.2 | 0.4 |
| pNP-Rha | 12.9 | nd | 0.2 | 0.0 |
| pNP-Gal | 19.8 | 3.8 | 84.8 | 0.0 |
| pNP-Ara | 266.6 | 27.5 | 619.5 | 0.3 |
| XFA | 9.2 | 85.9 | 0.1 | 0.8 |
| FA | 5.8 | 90.4 | 0.1 | 0.2 |
| FA2 | 2.4 | nd | 0.3 | Nd |

nd: not determined

15 **A) Release of the ferulic acid contained in beetroot pulp**

The enzymatic degradation of beetroot pulp was carried out in the presence of 10 mg of proteins (SP 584 or *A. niger* I-1472 enzymes) per g of dry pulp, i.e. 10 mg
20 of proteins per 8 mg of esterified ferulic acid initially present in the beetroot pulp. After hydrolysis for 24 h, the amount of ferulic acid released by SP 584 represents 50% of this initial amount of ferulic acid, and the amount of ferulic acid
25 released by the *A. niger* I-1472 enzymes represents 40% of this initial amount. The enzymes secreted by

A. niger I-1472 are therefore slightly less efficient than SP 584 in releasing the ferulic acid present in beetroot pulp.

5 **B) Release of the ferulic acid contained in the autoclaved material from maize bran**

The enzymatic degradation of the autoclaved material from maize bran was carried out in the presence of
10 10 mg of proteins (NOVOZYM 342 or *A. niger* I-1472 enzymes) per g of dry autoclaved material, i.e. 10 mg of proteins per 34 mg of esterified ferulic acid initially present in the autoclaved material from maize bran. After hydrolysis for 24 h, the amount of ferulic
15 acid released by NOVOZYM 342 represents 33% of this initial amount of ferulic acid, and the amount of ferulic acid released by the *A. niger* I-1472 enzymes represents 95% of this initial amount. The enzymes secreted by *A. niger* I-1472 are therefore much more
20 efficient than NOVOZYM 342 in releasing the ferulic acid contained in the autoclaved material from maize bran.

25 **EXAMPLE 6: DIRECT BIOCONVERSION OF THE FERULIC ACID PRESENT IN AGRICULTURAL COPRODUCTS OR FRACTIONS THEREOF, TO VANILLIC ACID, BY *A. niger* I-1472 CULTURED IN THE PRESENCE OF MAIZE BRAN**

A. niger I-1472 was cultured in the presence of maize
30 bran as the carbon-containing source inducing enzymes capable of degrading the parietal polysaccharides. The production of vanillic acid was monitored using, respectively, the autoclaved material from maize, or beetroot pulp or hydrolysates thereof as the plant
35 substrate acting as the source of ferulic acid.

Conditions for bioconversion of ferulic acid to vanillic acid

5 The *A. niger* I-1472 strain was cultured under the same culture conditions as those described previously for the production of enzymes. The cultures are produced in laboratory bioreactors (2 liter volume) with mechanical shaking.

10 At the optimum point of production of the enzymes (generally on the 3rd day of incubation of the culture), the plant substrate acting as the source of esterified ferulic acid is added to the *A. niger* I-1472 culture in an amount corresponding to 0.3 to 1.5 g of
15 ferulic acid per liter of culture and per day.

The bioconversion of the released ferulic acid to vanillic acid is monitored by HPLC. The HPLC analysis is performed on aliquots of the culture medium removed
20 at regular time points and filtered over glass fibers.

The analytical conditions are as follows: MERCK LICHROSPHER 100 RP18 HPLC column (15 μ m, 125 \times 4 mm), maintained at 30°C; flow rate of 0.75 ml/minute; UV
25 detection at 280 nm.

Elution solvent: A: 0.01% acetic acid in water; B: methanol. The elution profile is as follows: 20% of solvent B for 4 minutes; linear gradient of 20 to 40%
30 of solvent B for 24 minutes; 100% of solvent B for 2 minutes; return to 20% of solvent B and equilibration of the column for 5 minutes.

The results obtained at the optimum point of production
35 of vanillic acid from the various sources of ferulic acid used are given in table V below. The amount of ferulic acid consumed corresponds to the difference between the total amount of ferulic acid added to the culture in the form of plant substrate, and the total

amount of ferulic acid present in the culture at the time of assay (it is not possible to measure, directly, the amount of ferulic acid released since, in the presence of the *A. niger* cells, this ferulic acid is immediately converted to vanillic acid as it is released).

Table V

| Source of ferulic acid | Total amount of bound ferulic acid added to the culture (mg/l) | Amount of ferulic acid consumed (mg/l) | Vanillic acid produced (mg/l) |
|-------------------------------------|--|--|--------------------------------|
| Native maize bran | 600 | 0 | 0 |
| Autoclaved material from maize bran | 4240 | 3460 | 1400 (after 7 days of culture) |
| Beetroot pulp | 600 | 0 | 0 |
| Beetroot pulp hydrolysate 1 | 1680 | 1160 | 350 (after 6 days of culture) |
| Beetroot pulp hydrolysate 2 | 4240 | 3730 | 950 (after 7 days of culture) |

Maximum production of vanillic acid is observed when the plant substrates rich in feruloylated oligosaccharides (beetroot pulp hydrolysates and autoclaved material from maize bran) are used as sources of ferulic acid. The ferulic acid is therefore released by the induced *A. niger* I-1472 enzymes, and immediately biotransformed into vanillic acid by the intracellular enzymes, in a proportion of 1400 mg/l in 7 days with a molar yield of 46% relative to the ferulic acid consumed, and of 950 mg/L in 7 days with a molar yield of 29% relative to the ferulic acid consumed, when the autoclaved material from maize bran and hydrolysate 2 (rich in ferulic acid esterified to galactose), respectively, are used as the source of ferulic acid.

EXAMPLE 7: DIRECT BIOCONVERSION OF THE FERULIC ACID PRESENT IN AGRICULTURAL COPRODUCTS OR FRACTIONS THEREOF, TO VANILLIC ACID, BY *A. NIGER* I-1472 CULTURED IN THE PRESENCE OF BEETROOT PULP

5

In this example, the enzyme-inducing carbon-containing source is beetroot pulp. Maize bran, the autoclaved material from maize, beetroot pulp or hydrolysate 1 or 2 thereof is used as the source of ferulic acid.

10

The production of ferulic acid and of vanillic acid by *A. niger* I-1472 is monitored as indicated in Example 6 above.

15

The results obtained at the optimum point of production of vanillic acid from the various sources of ferulic acid used are given in table VI below.

Table VI

| Source of ferulic acid | Total amount of bound ferulic acid added to the culture (mg/l) | Total amount of ferulic acid consumed (mg/l) | Vanillic acid produced (mg/l) |
|-------------------------------------|--|--|--------------------------------|
| Native maize bran | 600 | 0 | 0 |
| Autoclaved material from maize bran | 3750 | 3290 | 2200 (after 7 days of culture) |
| Beetroot pulp | 600 | 350 | 50 (after 6 days of culture) |
| Beetroot pulp hydrolysate 1 | 900 | 650 | 150 (after 4 days of culture) |
| Beetroot pulp hydrolysate 2 | 900 | 770 | 270 (after 4 days of culture) |

20

These results show that the use of beetroot pulp as the inducing carbon-containing source produces results for direct bioconversion which are better than those observed when maize bran is used as the inducing carbon-containing source; this is in agreement with the results regarding the potentialities of the *A. niger* I-1472 enzymes induced under these conditions (examples 1 and 2). Specifically, in the case of the cultures produced in the presence of beetroot pulp, a spectrum

25

of enzymatic activities which is broader, and activity levels which are higher, than in the case of the cultures produced in the presence of maize bran are observed for the depolymerization enzymes and the
5 osidases; this allows the release of a greater amount and of a greater variety of feruloylated oligo-saccharides used as substrate by the ferulate esterases.

- 10 In addition, maximum production of vanillic acid is obtained when the autoclaved material from maize bran is used as the plant substrate acting as the source of ferulic acid. In the cultures produced in the presence of beetroot pulp as the carbon-containing source
15 inducing the depolymerization enzymes and the osidases, the addition of autoclaved material from maize also induces the ferulate esterase activities.

- 20 The ferulic acid released by the induced *A. niger* I-1472 enzymes is then very efficiently biotransformed into vanillic acid by the intracellular enzymes, in the proportion of 2 200 mg/L in 7 days with a molar yield of 77% relative to the ferulic acid consumed.

- 25 It should be noted that the bound ferulic acid present in the beetroot pulps, and hydrolysates thereof, used as the plant substrate acting as the source of ferulic acid was also directly bioconverted to vanillic acid, although at a lower level.

30

EXAMPLE 8: DIRECT BIOCONVERSION OF THE FERULIC ACID PRESENT IN THE AUTOCLAVED MATERIAL FROM MAIZE, TO VANILLIC ACID, BY *A. NIGER* I-1472 CULTURED IN THE PRESENCE OF BEETROOT PULP HYDROLYSATES

35

In this example, the enzyme-inducing carbon-containing sources are beetroot pulp hydrolysates 1 and 2. The autoclaved material from maize is used as the plant substrate acting as the source of ferulic acid.

The production of ferulic acid and of vanillic acid by *A. niger* I-1472 is monitored as indicated in example 6 above.

- 5 The results obtained at the optimum point of production of vanillic acid are illustrated by table VII below:

Table VII

| Inducing carbon-containing source | Total amount of bound ferulic acid added to the culture (mg/l) | Total amount of ferulic acid consumed (mg/l) | Vanillic acid produced (mg/l) |
|--|--|--|--------------------------------|
| Hydrolysate 1 (rich in ferulic acid esterified to arabinose) | 3300 | 3150 | 1260 (after 7 days of culture) |
| Hydrolysate 2 (rich in ferulic acid esterified to galactose) | 3300 | 3180 | 1550 (after 7 days of culture) |

- 10 The production of vanillic acid by *A. niger* I-1472, from the ferulic acid contained in the autoclaved material from maize, is 1260 mg/L after 7 days (i.e. a molar yield of 46% relative to the ferulic acid consumed) when hydrolysate 1 is used as the inducing carbon-containing source, and 1550 mg/L after 7 days (i.e. a molar yield of 56% relative to the ferulic acid consumed) when hydrolysate 2 is used as the inducing carbon-containing source.

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- 25 -

CLAIMS

1. A process for producing *Aspergillus niger* cultures with a broad spectrum of enzymatic activity comprising enzymes which degrade the parietal polysaccharides and ferulate esterases, which process is characterized in that it comprises culturing at least one *Aspergillus niger* strain in the presence of at least one inducing carbon-containing source chosen from the group consisting of:
- beetroot pulp or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis;
 - a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or at least a soluble fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving said bran or said mixture.
2. The process as claimed in claim 1, characterized in that the inducing carbon-containing source is present in said culture medium at a concentration of between 1 and 50 g/L, and preferably between 2.5 and 30 g/L.
3. The process as claimed in either of claims 1 and 2, characterized in that the *Aspergillus niger* culture comprises at least the CNCM I-1472 strain.
4. A process for producing an enzymatic preparation with a broad spectrum of activity comprising enzymes which degrade the parietal polysaccharides and ferulate esterases, characterized in that it comprises carrying out the process as claimed in any one of claims 1 to 3, and recovering the culture supernatant.

AMENDED SHEET

5. An enzymatic preparation, characterized in that it can be produced using the process as claimed in claim 4.

5 6. A process for producing free ferulic acid from a feruloylated substrate, which process is characterized in that it comprises bringing said substrate into contact with at least one *Aspergillus niger* culture produced using the
10 process as claimed in any one of claims 1 to 3, or with at least one enzymatic preparation as claimed in claim 5, under conditions which allow the release of the ferulic acid by the enzymes present in said culture or said enzymatic preparation.

15 7. The process as claimed in claim 6, characterized in that the feruloylated substrate is chosen from:
- beetroot pulp or at least a soluble fraction thereof rich in feruloylated
20 oligosaccharides, which can be produced by acid hydrolysis;

- a cereal bran, in particular a maize bran, or a mixture of brans of various cereals, or at least a soluble fraction thereof rich in
25 feruloylated oligosaccharides, which can be produced by autoclaving said bran or said mixture.

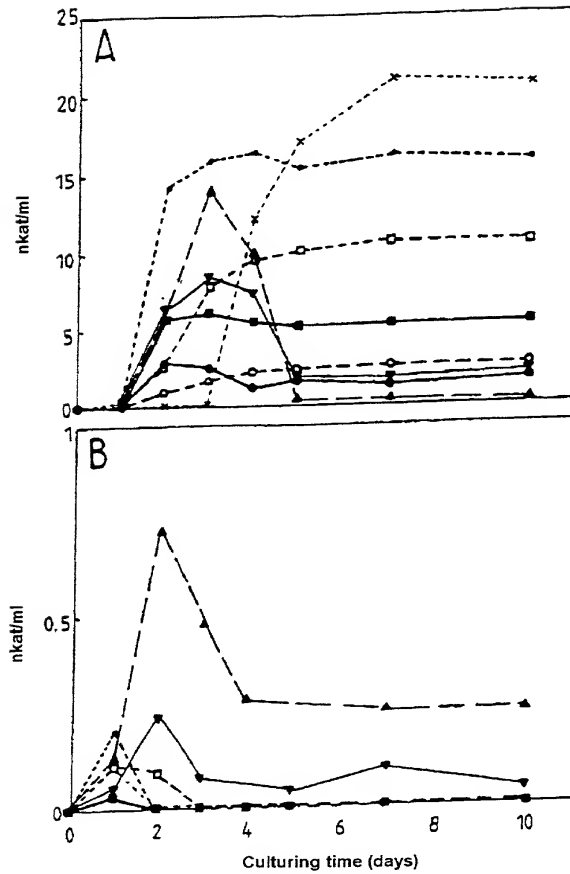
8. The process as claimed in either of claims 6 and 7, characterized in that an amount of feruloylated
30 substrate corresponding to 0.1 to 50 g of ferulic acid per liter of culture medium is added to the *Aspergillus niger* culture medium.

9. The process as claimed in either of claims 6 and 7, characterized in that the enzymatic preparation
35 is mixed with an amount of feruloylated substrate corresponding to 0.1 to 40 g of ferulic acid per

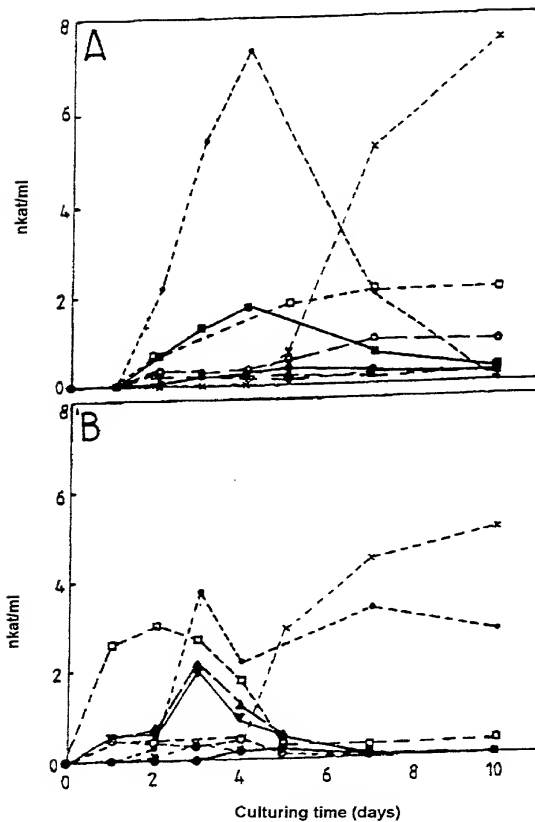
gram of total proteins of the enzymatic preparation.

10. The process as claimed in any one of claims 6 to 9, characterized in that the *Aspergillus niger* culture or the enzymatic preparation is produced in the presence of an inducing carbon-containing source comprising beetroot pulp or at least a fraction thereof rich in feruloylated oligosaccharides, which can be produced by acid hydrolysis, and in that the feruloylated substrate comprises at least one cereal bran or at least a fraction thereof rich in feruloylated oligosaccharides, which can be produced by autoclaving.
11. The process as claimed in any one of claims 6 to 8 or 10, characterized in that it also comprises the bioconversion to vanillic acid, by said *Aspergillus niger* culture, of the ferulic acid released from the feruloylated substrate.

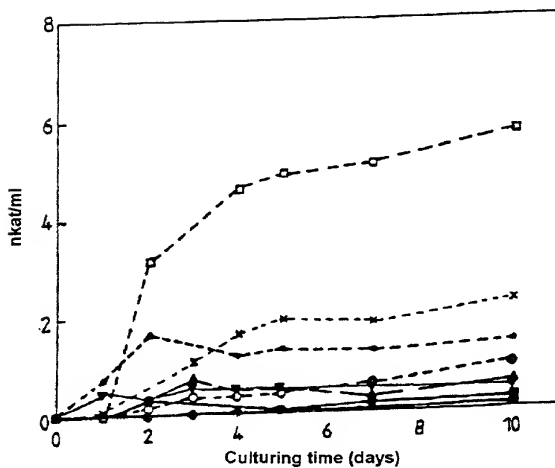
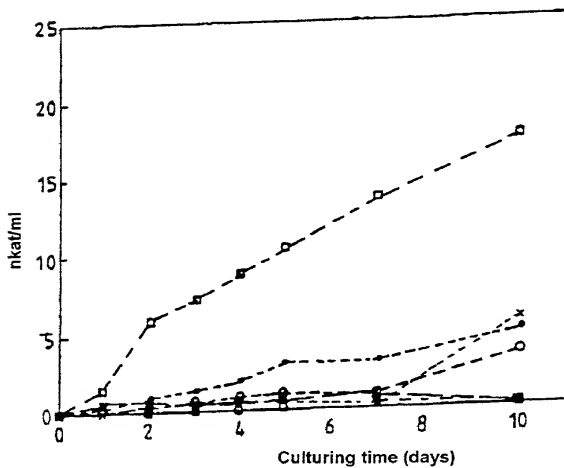
1/3

FIG.1

2/3

FIG. 2

3/3

FIG. 3FIG. 4

Declaration and Power of Attorney for Patent Application
Déclaration et Pouvoirs pour Demande de Brevet
French Language Declaration

En tant l'inventeur nommé ci-après, je déclare par le présent acte que :

Mon domicile, mon adresse postale et ma nationalité sont ceux figurant ci-dessous à côté de mon nom.

Je crois être le premier inventeur original et unique (si un seul nom est mentionné ci-dessous), ou l'un des premiers co-inventeurs originaux (si plusieurs noms sont mentionnés ci-dessous) de l'objet revendiqué, pour lequel une demande de brevet a été déposée concernant l'invention intitulée

et dont la description est fournie ci-joint à moins

☐ ci-joint

☐ a été déposée le

sous le numéro de demande des
Etats-Unis ou le numéro de demande
international PCT

et modifiée le

(le cas échéant).

Je déclare par le présent acte avoir passé en revue et compris le contenu de la description ci-dessus, revendications comprises, telles que modifiées par toute modification dont il aura été fait références ci-dessus.

Je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations.

As a below named inventor, I hereby declare that :

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed an for which a patent is sought on the invention entitled

METHOD FOR OBTAINING A. NIGER CULTURES AND THEIR USES FOR PRODUCING FERULIC ACID AND VANILLIC ACID

the specification of which :

☐ is attached hereto.

☒ was filed on

as United States Application Number or
PCT International Application Number.
PCT/FR00/00966 filed on April 14, 2000

and was amended on

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

French Language Declaration

Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, § 119(a)-(d) ou § 365(b) du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur ou, en vertu du Titre 35, § 365(a) du même Code, sur toute demande internationale PCT désignant au moins un pays autre que les Etats-Unis et figurant ci-dessous et, en cochant la case, j'ai aussi indiqué ci-dessous toute demande étrangère de brevet, tout certificat d'inventeur ou toute demande internationale PCT ayant date de dépôt précédant celle de la demande à propos de laquelle une priorité est revendiquée.

Prior Foreign application(s)

Demande(s) de brevet antérieure(s) dans un autre pays.

(Number) (Country)
(Numéro) (Pays)

99/04644 FRANCE

(Number) (Country)
(Numéro) (Pays)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 119(e) du Code des Etats-Unis, de toute demande de brevet provisoire effectuée aux Etats-Unis et figurant ci-dessous.

(Application No.) (Filing Date)
(N° de demande) (Date de dépôt)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 120 du Code des Etats-Unis, de toute demande de brevet effectuée aux Etats-Unis, ou en vertu du Titre 35, § 365(c) du même Code, de toute demande internationale PCT désignant les Etats-Unis et figurant ci-dessous et, dans la mesure où l'objet de chacune des revendications de cette demande de brevet n'est pas divulgué dans la demande antérieure américaine ou internationale PCT, en vertu des dispositions du premier paragraphe du Titre 35, § 112 du code des Etats-Unis, je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations, dont j'ai pu disposer entre la date de dépôt de la demande antérieure et la date de dépôt de la demande nationale ou internationale PCT de la présente demande :

(Application No.) (Filing Date)
(N° de demande) (Date de dépôt)

(Application No.) (Filing Date)
(N° de demande) (Date de dépôt)

Je déclare que par le présent acte que toute déclaration ci-incluse est, à ma connaissance, véridique et que toute déclaration formulée à partir de renseignements ou de suppositions est tenue pour véridique; et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la section 1001 du Titre 18 du Code des Etats-Unis, et que de telles déclarations volontairement fausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-ci.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority claimed
Droit de priorité
revendiqué

(Day/Month/Year Filed) ☒ ☐
(Jour/Mois/Anné de dépôt) Yes No
Oui Non

14/04/1999

(Day/Month/Year Filed) ☐ ☐
(Jour/Mois/Anné de dépôt) Yes No
Oui Non

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Application No.) (Filing Date)
(N° de demande) (Date de dépôt)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112.1 I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Status) (patented, pending, abandoned)
(Statut) (breveté, en cours d'examen, abandonné)

(Status) (patented, pending, abandoned)
(Statut) (breveté, en cours d'examen, abandonné)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

French Language Declaration

POUVOIRS : En tant que l'inventeur cité, je désigne par la présente l'(les) avocat(s) et/ou agent(s) suivant(s) pour qu'ils poursuive(nt) la procédure de cette demande de brevet et traite(nt) toute affaire s'y rapportant avec l'Office des brevets et des marques : (mentionner le nom et le numéro d'enregistrement).

POWER OF ATTORNEY : As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to persecute this application and transact all business in the Patent and Trademark Office connected therewith : (list name and registration number)

Norman F. Oblon, Reg. No. 24,618 ; Marvin J. Spivak, Reg. No. 24,913 ; C. Irvin McClelland, Reg. No. 21,124 ; Gregory J. Maier, Reg. No. 25,599 ; Arthur I. Neustadt, Reg. No. 24,854 ; Richard D. Kelly, Reg. No. 27,757 ; James D. Hamilton, Reg. No. 28,421 ; Eckhard H. Kuesters, Reg. No. 28,870 ; Robert T. Pous, Reg. No. 29,099 ; Charles L. Gholz, Reg. No. 26,395 ; William E. Beaumont, Reg. No. 30,996 ; Jean-Paul Lavalleye, Reg. No. 31,451 ; Stephen G. Baxter, Reg. No. 34,884 ; Richard L. Treanor, Reg. No. 36,379 ; Stephen P. Weihrouh, Reg. No. 32,829 ; John T. Gookasian, Reg. No. 26,142 ; Richard L. Cinn, Reg. No. 34,305 ; Stephen E. Lipman, Reg. No. 30,011 ; Carl E. Shlier, Reg. No. 34,426 ; James J. Kubaski, Reg. No. 34,648 ; Richard A. Neifeld, Reg. No. 35,299 ; J. Dereck Mason, Reg. No. 35,270 ; Surinder Sachar, Reg. No. 34,423 ; Christina M. Gadiano, Reg. No. 37,628 ; Jeffrey B. McIntyre, Reg. No. 36,867 ; William T. Enos, Reg. No. 33,128 ; Michael E. McCabe, Jr., Reg. No. 37,182 ; Bradley D. Lytle, Reg. No. 40,073 ; and Michael R. Asey, Reg. No. 40,294, with full powers of substitution and revocation.

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| | |
|---|--|
| <p>1-80 Nom complete de l'unique ou premier inventeur BONNIN Estelle</p> <p>Signature de l'inventeur <i>EB</i> Date <i>23/10/01</i></p> <p>Domicile <i>FR</i> 44700 ORVAULT (France)</p> <p>Nationalité Française</p> <p>Adresse Postale 8, Rue Aimé Penel 44700 ORVAULT (France)</p> | <p>Full name of sole or first inventor</p> <p>Inventor's signature _____ Date _____</p> <p>Residence _____</p> <p>Citizenship _____</p> <p>Post Office Address _____</p> |
| <p>2-8 Nom complete du second co-inventeur, le cas échéant LESAGE-MEESSEN Laurence</p> <p>Signature de l'inventeur <i>LA</i> Date <i>15 octobre 2001</i></p> <p>Domicile <i>FR</i> 13008 MARSEILLE (France)</p> <p>Nationalité Française</p> <p>Adresse Postale 7, Parc de la Serane 13008 MARSEILLE (France)</p> | <p>Full name of second joint inventor, if any</p> <p>Second inventor's signature _____ Date _____</p> <p>Residence _____</p> <p>Citizenship _____</p> <p>Post Office Address _____</p> |

(Fournir les mêmes renseignements et la signature de tout co-inventeur supplémentaire.)

(Supply similar information and signature for third and subsequent joint inventors.)

French Language Declaration

| | | | |
|--|--------------------|--|------|
| 20 Nom complet du troisième co-inventeur, le cas échéant STENTELAIRE Christelle | | Full name of third joint inventor, if any | |
| Signature de l'inventeur | Date 13/10/2001 | Third inventor's signature | Date |
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| | | | |
| 40 Nom complet du quatrième co-inventeur, le cas échéant ASTHER Marcel | | Full name of fourth joint inventor, if any | |
| Signature de l'inventeur | Date 15/04/2001 | Fourth inventor's signature | Date |
| Domicile 13600 LA CIOTAT (FRANCE) <i>FRX</i> | | Residence | |
| Nationalité Française | | Citizenship | |
| Adresse Postale 28, Avenue du Peymian 13600 LA CIOTAT (FRANCE) | | Post Office Address | |
| | | | |
| 50 Nom complet du cinquième co-inventeur, le cas échéant THIBAUT Jean-François | | Full name of fifth joint inventor, if any | |
| Signature de l'inventeur | Date 23/10/01 | Fifth inventor's signature | Date |
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| Nationalité Française | | Citizenship | |
| Adresse Postale 2, Rue de la Rotonde 44700 ORVAULT (FRANCE) | | Post Office Address | |
| | | | |
| Nom complet du sixième co-inventeur, le cas échéant | | Full name of sixth joint inventor, if any | |
| Signature de l'inventeur | Date | Sixth inventor's signature | Date |
| Domicile | | Residence | |
| Nationalité | | Citizenship | |
| Adresse Postale | | Post Office Address | |
| | | | |

(Fournir les mêmes renseignements et la signature de tout co-inventeur supplémentaire.)

(Supply similar information and signature for third and subsequent joint inventors.)